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Series 7 Study Guide: Test Prep Manual & Practice Exam Questions for the FINRA Series 7 Licence Exam Developed for test takers trying to achieve a passing score on the Series 7 exam, this comprehensive study guide includes: -Quick Overview -Test-Taking Strategies -Introduction to the Series 7 Exam -Regulatory Requirements -Knowledge of Investor Profile -Opening and Maintaining Customer Accounts -Business Conduct Knowledge & Suitable Recommendations -Orders and Transactions in Customer ...

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The Series 7 Exam: What to Expect and How to Prepare ...

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The Series 7 Content Outline provides a comprehensive guide to the range of topics covered on the exam, as well as the depth of knowledge required. The outline is comprised of the four major job functions of a general securities representative. The table below lists the allocation of exam items for each major job function.

Series 7 - General Securities Representative Exam | FINRA.org

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Series 7 Exam Prep (Practice Exam) - Test Prep Review

The cost of taking the Series 7 Exam is \$245. What are the testing dates and locations? Once you are enrolled for taking the Series 7 Exam, you will receive a 120-day testing window. You can contact the test center to find out the open dates. Throughout the world, there are many testing sites where you can take the exam.

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The SIE exam is a co-requisite with the Series 6, 7, 57, 82, and 99 exams. The individual must be associated with a member firm to take the qualification exam. The member firm sponsors the individual to take an exam, such as the General Securities Representative (Series 7) Exam.

Securities Industry Essentials Exam | SIE Exam ...

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